Express Mail mailing label no. EL539748671US Date of Deposit: January 2, 2002

JC14 Rec'd PCT/PTO 0 2 JAN 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademark Wahipston, D. C. 20231.

JAN 0 2 2002

**PATENT** 

TES PAGENT AND TRADEMARK OFFICE

In re the application of

Examiner: Unassigned

Potter

Art Unit: Unassigned

Serial No. 09/936,853

Filed: September 10, 2001

January 2, 2002

For: Device and Method for Hiding Information and Device and Method for Extracting Information

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents and Trademarks **BOX MISSING PARTS** Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed November 5, 2001, enclosed herewith are the following:

- Original Declaration signed by inventor;
- Translation of the International Preliminary Examination Report;
- Translation of the PCT application;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the surcharge of \$65.00 and any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0065). A copy of this sheet is enclosed for accounting purposes.

01/15/2002 MNGUYEN 00000035 071445

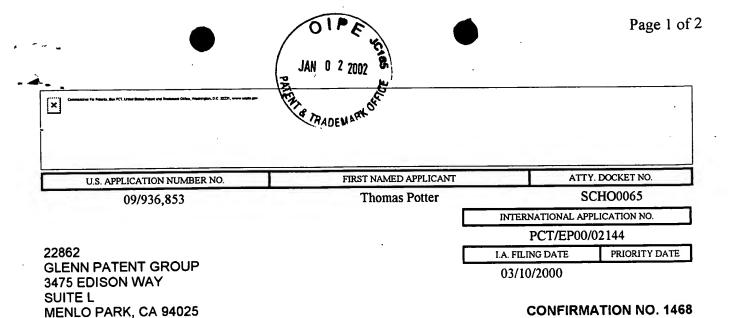
Respectfully submitted,

01 FC:254

65.00 CH

Michael A. Glenn Reg. No. 30,176

Customer No. 22862



Date Mailed: 11/05/2001

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination
- Small Entity Statement
- Substitute Specification

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the
  application by the International application number and international filing date. The current oath or
  declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

By: 9/

**371 FORMALITIES LETTER** 

\*OC000000007021594\*

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

#### LAMONT M HUNTER

Telephone: (703) 305-3686

# PART 1 - ATTORNEY/APPLICANT COPY.

	<u> </u>	
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/936,853	PCT/EP00/02144	SCHO0065

JAN 0 2 7002

· National Phase of PCT/EP00/02144 in U.S.A.

Title: Device and Method for Hiding Information and Device

and Method for Extracting Information

Applicant: PÖTTER, Thomas

Translation of PCT Application PCT/EP00/02144 as originally filed

PATENT CORPERATION TREATY

١.

JAN 0 2 2002

From the INTERNATIONAL BUREAU

To:

OF COPIES OF TRANSMETTAL OF COPIES OF TRANSLATION OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

PCT

(PCT Rule 72.2)

SCHOPPE, Fritz Schoppe, Zimmermann & Stöckeler Postfach 71 08 67 D-81458 München ALLEMAGNE

Date of mailing (day/month/year)

21 September 2001 (21.09.01)

Applicant's or agent's file reference

PÖ000301PCT

International application No.

PCT/EP00/02144

**IMPORTANT NOTIFICATION** 

International filing date (day/month/year)
10 March 2000 (10.03.00)

**Applicant** 

PÖTTER, Thomas

1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

2. Transmittal of the copy of the translation to the elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

CA,JP,US

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

EP,NO

3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

**Authorized officer** 

ALT SOLEMAN

Telephone No. (41-22) 338.83.38

Facsimile No. (41-22) 740.14.35

Form PCT/IB/338 (July 1996)

4305751

# Translation

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference PÖ000301PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)				
International application No.	International filing date (da	y/month/year)	Priority date (day/month/year)		
PCT/EP00/02144	10 March 2000 (1	0.03.00)	10 March 1999 (10.03.99)		
International Patent Classification (IPC) or n H04L 9/00	ational classification and IPC				
Applicant	PÖTTER, Tho	omas			
This international preliminary examples     Authority and is transmitted to the a			International Preliminary Examining		
2. This REPORT consists of a total of	5 sheets, inclu	ding this cover s	heet.		
been amended and are the been see Rule 70.16 and Section		ets containing restructions under	ion, claims and/or drawings which have estifications made before this Authority the PCT).		
This report contains indications relat	ing to the following items:				
I Basis of the report	I Basis of the report				
II Priority					
III Non-establishment	of opinion with regard to no	velty, inventive s	step and industrial applicability		
IV Lack of unity of in	The share Committee of Committe				
	it under Article 35(2) with re nations supporting such state	gard to novelty, i	nventive step or industrial applicability;		
VI Certain documents	cited				
VII Certain defects in t					
VIII Certain observation	ns on the international applic	ation			
Date of submission of the demand	Date	of completion of	f this report		
		•	•		
04 October 2000 (04.1)	0.00)	28 Fe	bruary 2001 (28.02.2001)		
Name and mailing address of the IPEA/EP	Auti	norized officer			
Facsimile No.	Tele	phone No.			

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

## PCT/EP00/02144

I. Basis of the report		
		s which have been furnished to the receiving Office in response to an invitation and are not annexed to the report since they do not contain amendments.):
the internationa	l application as originally filed.	
the description,	pages1-41	_, as originally filed,
	pages	, filed with the demand,
	pages	_, filed with the letter of,
	pages	_, filed with the letter of
the claims,	Nos. 1-28	, as originally filed,
		, as amended under Article 19,
	Nos	, filed with the demand,
	Nos.	, filed with the letter of,
	Nos.	, filed with the letter of
the drawings,	sheets/fig1/4-4/4	, as originally filed,
	sheets/fig	, filed with the demand,
	sheets/fig	, filed with the letter of,
	sheets/fig	, filed with the letter of
2. The amendments have result	ted in the cancellation of:	
the description,	pages	
the claims,	Nos	
the drawings,	sheets/fig	
This report has been e to go beyond the discl	established as if (some of) the amount of the amount osure as filed, as indicated in the	endments had not been made, since they have been considered Supplemental Box (Rule 70.2(c)).
4. Additional observations, if n	ecessary:	
•		
		ļ
	<del></del>	

### INTERNATIONAL PRELIMINARY EXAMIN

JAN 0 2 2002

International application No.

PCT/EP 00/02144

 Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

. Statement			
Novelty (N)	Claims	1-28	YES
	Claims		NO
Inventive step (IS)	Claims	1-28	YES
	Claims		NO
Industrial applicability (IA)	Claims	1-28	YES
	Claims		NO

- 2. Citations and explanations
  - The invention relates to a device (Claim 1) and a method (Claim 25) for hiding information in a text as well as a device (Claim 20) and a method (Claim 26) for extracting information hidden in a modified text.

The prior art indicated in the introductory part of the description discloses the use, in a steganographic system, of texts defined by predefined grammars and the like; moreover, such a system is very sensitive to text formatting and is not robust against slight modifications of the text. The use of synonyms is also excluded in the prior art.

The present invention therefore addrresses the problem of devising a steganographic system that can be used flexibly and at the same time provides a high degree of insusceptibility.

The problem is solved with the method steps in Claims 25 and 26 as well as the device features of Claims 1 and 20.

PCT/EP 00/02144

The present invention is based on the understanding that text is the most natural type of communication in which to hide information, and for that purpose natural language is used. Any language contains an extraordinarily large amount of redundancy. Hence, many different things can be expressed in a very large number of ways. Therefore, any natural language text can be used. The concept behind the invention is therefore not limited to predefined grammars and the like. Moreover, the concept behind the invention is entirely insensitive to text formatting and is robust against slight modification of the text.

Its application is very flexible and can also be used where replacing synonyms is excluded per se. The international search report citations have already been indicated as prior art in the present description.

The subject matter of Claims 1, 20, 25 and 26 is therefore novel and inventive (PCT Article 33(2) and (3)).

Dependent Claims 2 to 19, 21 to 24, 27 and 28 comprise further details of the devices according to Claims 1 and 20. Since these claims are dependent on Claims 1 and 20, they also meet the requirements of the PCT (Article 33(2) and (3)) in respect of novelty and inventive step.